# Department of the Treasury Internal Revenue Service

# **Request for Taxpayer Identification Number and Certification**

Go to www.irs.gov/FormW9 for instructions and the latest information.

Give form to the requester. Do not send to the IRS.

| Befor   | e you begin. For guidance related to the purpose of Form W-9, see Purpose of Form, below.   |   |                         |                   |   |   |                  |                    |                    |  |
|---|---|---|-------------------------|-------------------|---|---|------------------|--------------------|--------------------|--|
|   | 1 Name of entity/individual. An entry is required. (For a sole proprietor or disregarded entity, enter the owner's name on line 1, and enter the business/disregarded entity's name on line 2.)   |   |                         |                   |   |   |                  |                    |                    |  |
| Print or type.<br>See Specific Instructions on page 3.                                      | CSWR-Texas Utility Operating Company, LLC   |   |                         |                   |   |   |                  |                    |                    |  |
|   | 2 Business name/disregarded entity name, if different from above.   |   |                         |                   |   |   |                  |                    |                    |  |
|   | 3a Check the appropriate box for federal tax classification of the entity/individual whose name is entered on line 1. Check only one of the following seven boxes.  Individual/sole proprietor C corporation S corporation Partnership Trust/estate   |   |                         |                   |   | 4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): |                  |                    |                    |  |
|   | LLC. Enter the tax classification (C = C corporation, S = S corporation, P = Partnership)   |   |                         |                   | Exempt payee code (if any)                                  |   |                  |                    |                    |  |
|   | Note: Check the "LLC" box above and, in the entry space, enter the appropriate code (C, S, or P) for the tax classification of the LLC, unless it is a disregarded entity. A disregarded entity should instead check the appropriate box for the tax classification of its owner.  Other (see instructions)                       |   |                         |                   |   | Exemption from Foreign Account Tax<br>Compliance Act (FATCA) reporting<br>code (if any)           |                  |                    |                    |  |
|   |   |   |                         |                   |   | -   |                  |                    |                    |  |
|   | 3b If on line 3a you checked "Partnership" or "Trust/estate," or checked "LLC" and entered "P" as its tax classification, and you are providing this form to a partnership, trust, or estate in which you have an ownership interest, check this box if you have any foreign partners, owners, or beneficiaries. See instructions |   |                         |                   | (Applies to accounts maintained outside the United States.) |   |                  |                    |                    |  |
|   | 5 Address (number, street, and apt. or suite no.). See instructions.  | Request                                     | ter's r                 | name ar           | nd add  | ress (op  | tional)          |                    |                    |  |
|   | 0 Des Peres Rd, Ste 140   |   |                         |                   |   |   |                  |                    |                    |  |
|   | 6 City, state, and ZIP code   |   |                         |                   |   |   |                  |                    |                    |  |
|   | Des Peres, MO 63131   |   |                         |                   |   |   |                  |                    |                    |  |
|   | 7 List account number(s) here (optional)  |   |                         |                   |   | -   |                  |                    |                    |  |
|   |   |   |                         |                   |   |   |                  |                    |                    |  |
| Pai   | Taxpayer Identification Number (TIN)  |   |                         |                   |   |   |                  |                    |                    |  |
| Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 |   |   | /oid Social security nu |                   |   |   | number           |                    |                    |  |
| backı   | up withholding. For individuals, this is generally your social security number (SSN). However, t  | (SSN). However, for a<br>, later. For other |                         |                   |   |   |                  |                    |                    |  |
| reside  | ent alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other  |   |                         |                   | JL  |   | l L              | Ш.                 |                    |  |
| entitie<br>TIN, l   | es, it is your employer identification number (EIN). If you do not have a number, see <i>How to ge</i><br>oter  |   |                         |                   |   |   |                  |                    |                    |  |
|   |   |   |                         |                   |   | r identification number   |                  |                    |                    |  |
| Note:<br>Numb   | If the account is in more than one name, see the instructions for line 1. See also What Name per To Give the Requester for guidelines on whose number to enter.   | ana   | 8                       | 4 -               | 3   | 2 5   | 0                | 4 9                | 3                  |  |
| Par   | t II Certification  |   |                         |                   |   |   |                  |                    |                    |  |
| Unde  | r penalties of perjury, I certify that:   |   |                         |                   |   |   |                  |                    |                    |  |
| 1. The  | e number shown on this form is my correct taxpayer identification number (or I am waiting for   | a numbe                                     | er to                   | be issu           | ued to  | me); a  | nd               |                    |                    |  |
| 2. I ar<br>Se   | n not subject to backup withholding because (a) I am exempt from backup withholding, or (b) rvice (IRS) that I am subject to backup withholding as a result of a failure to report all interest longer subject to backup withholding; and   | l have n                                    | ot be                   | een no            | tified  | by the I  | nterna           | l Reve<br>I me th  | inue<br>iat I am   |  |
| 3. I ar   | n a U.S. citizen or other U.S. person (defined below); and  |   |                         |                   |   |   |                  |                    |                    |  |
| 4. The  | e FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting  | ng is con                                   | rect.                   |                   |   |   |                  |                    |                    |  |
| becau   | fication instructions. You must cross out item 2 above if you have been notified by the IRS that use you have failed to report all interest and dividends on your tax return. For real estate transactiful or abandonment of secured property, cancellation of dept, contributions to an individual rel                           | ons, item<br>tirement a                     | i 2 do<br>arran         | ces not<br>igemen | apply<br>it (IRA  | /. For m<br>), and, g   | ortgag<br>Jenera | e inter<br>ly, pay | est paid,<br>ments |  |
|   | than interest and dividends, you are not required to sign the dertification, but you must provide you   | our corre                                   | CI III                  | iv. See           | the in  | suucuo  | IIS IUI          | ail II,            | iatei.             |  |
| Sign<br>Here  |   | Date  | 6                       | , ;               | 22  | 1.6   | 15               | )                  |                    |  |
| Ge  | neral Instructions  New line 3b has be required to complete   |   |                         |                   |   |   |                  |                    |                    |  |

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

## What's New

Line 3a has been modified to clarify how a disregarded entity completes this line. An LLC that is a disregarded entity should check the appropriate box for the tax classification of its owner. Otherwise, it should check the "LLC" box and enter its appropriate tax classification.

foreign partners, owners, or beneficiaries when it provides the Form W-9 to another flow-through entity in which it has an ownership interest. This change is intended to provide a flow-through entity with information regarding the status of its indirect foreign partners, owners, or beneficiaries, so that it can satisfy any applicable reporting requirements. For example, a partnership that has any indirect foreign partners may be required to complete Schedules K-2 and K-3. See the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

### Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS is giving you this form because they